



06/18/97

A

Attorney Docket P1110
PATENT

CERTIFICATION UNDER 37 CFR 1.10

EM239524640 US: Express Mail Number

June 18, 1997: Date of Deposit

I hereby certify that this Non-provisional Application Transmittal and the documents referred to as enclosed therein are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner of Patents, Washington, D.C. 20231.

Janet Tse

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

BOX SEQUENCE

Assistant Commissioner of Patents
Washington, D.C. 20231

NON-PROVISIONAL APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of

Inventor(s): Avi J. Ashkenazi
Kevin Baker
Austin Gurney
William Wood

For: Apo-2DcR

1. Type of Application

This new application is for an original, non-provisional application.

2. Papers Enclosed Which Are Required For Filing Date Under 37 CFR 1.53(b)(non-provisional)

99 pages of specification
3 pages of claims
1 page(s) of abstract
15 sheet(s) of drawings
☒ formal ☐ informal

3. Declaration or Oath

☒ The declaration of the inventor(s) ☒ is enclosed ☒ unsigned ☐ will follow.

4. Assignment

☒ An Assignment of the invention to GENENTECH, INC. ☐ is enclosed with attached Recordation Form Cover Sheet ☒ will follow.

5. **Fee Calculation (37 CFR 1.16)**
The fee has been calculated as follows:

CLAIMS FEE CALCULATION					
Number Filed		Number Extra		Rate	Basic Fee 37 CFR 1.16(a)
					\$770.00
Total Claims	32	- 20 =	12	X \$22.00	\$264.00
Independent Claims	17	- 3 =	14	X \$80.00	\$1,120.00
— Multiple dependent claim(s), if any				+ 260.00	\$0.00
Filing Fee Calculation					\$2,154.00

6. **Method of Payment of Fees**
X The Commissioner is hereby authorized to charge Deposit Account No. 07-0630 in the amount of \$2,154.00. A duplicate copy of this transmittal is enclosed.
7. **Authorization to Charge Additional Fees**
X The Commissioner is hereby authorized to charge any additional fees required under 37 CFR §1.16 and 1.17, or credit overpayment to Deposit Account No. 07-0630. A duplicate copy of this sheet is enclosed.
8. **Additional Papers Enclosed**
☐ Information Disclosure Statement (37 CFR §1.98) w/ PTO-1449 and citations
☒ Submission of "Sequence Listing", computer readable copy and/or amendment pertaining thereto for biological invention containing nucleotide and/or amino acid sequence.
☒ Other: Certificate Re: Sequence Listing

Respectfully submitted,
GENENTECH, INC.

By: Diane L. Marschang

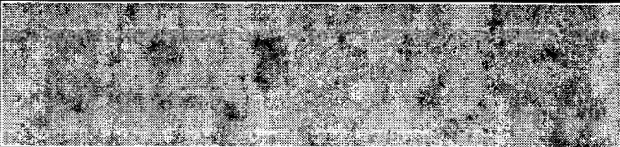
Diane L. Marschang
Attorney for the Applicants
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Date: June 18, 1997

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Express Mail No. EM239524640 US
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of Ashkenazi et al. Serial No.: To Be Assigned Filed: June 18, 1997 For: Apo-2DcR	Group Art Unit: To Be Assigned Examiner: To Be Assigned 
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CERTIFICATE RE: SEQUENCE LISTING
UNDER 37 CFR § 1.821(f)

BOX SEQUENCE
Assistant Commissioner of Patents
Washington, D.C. 20231

Sir:

I hereby state that the Sequence Listing submitted herewith is submitted in paper copy and a computer-readable diskette, and that the content of the paper and computer readable copies are the same.

Respectfully submitted,

GENENTECH, INC.

By: Diane L. Marschang
Diane L. Marschang
Attorney for the Applicants
Reg. No. 35,600

Date: June 18, 1997

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